FINEBERG
WEALTH MANAGEMENT

Important Financial Documents Checklist

Please bring as many of the following documents to your initial consultation as are easily accessible to you. We will use this information to begin developing a comprehensive strategy that addresses your goals and concerns. If you cannot easily locate some of these items, please bring what you can and we will find the rest at a later time.

Retirement Planning Documents

- Recent IRA, 401(k), 403(b), TSA, Keogh statements
- Employee benefits program
- Deferred compensation and stock option agreements
- Pension and profit sharing statements

Tax Planning Documents

- Tax returns for last year
- W-2 and a recent pay stub
- Estimated taxes

Financial Documents

- Savings account statements
- Mutual fund statements
- Brokerage account statements
- Investment documents
- Loan documents
- List of stocks held outside of brokerages
- Partnership agreements

Asset Protection Documents

- Life insurance policies and statements
- Medical, homeowners and auto insurance policies and statements
- Disability, umbrella, and long term care insurance policies
- Annuity policies and statements
- Estate Planning Documents
- Summary of your will, living will, durable powers of attorney and health care powers
- Living trusts